

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Our Docket No.: 33503/US

First Named Inventor: Michael Fantuzzi

Title: SOLUBILIZED CoQ-10

Express Mail No.: EV 325427124 US

2154 U.S. PTO  
10/674268  
09/29/03

**ADDRESS TO:**

**Mail Stop PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**APPLICATION ELEMENTS**

1.  Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status
3.  Specification with Cover Sheet Total Pages: 18
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Re: Fed. Sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4.  Drawings (35 U.S.C. 113) Total Sheets:
5.  Oath or Declaration Total Pages: 3
  - a.  Newly Executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with  
Box 19 completed)
    - i.  **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s)  
named in the prior application (37 C.F.R.  
1.63(d)(2) and 1.33(b))
6.  Application Data Sheet (37.C.F.R. 1.76)
7.  CD-ROM or CD-R in duplicate,
8.  large table or Computer Program (Appendix)
9.  Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identify of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10.  Assignment Papers (cover sheet & document(s))  
37 C.F.R. 3.73(b) Submission
11.  Power of Attorney
12.  Information Disclosure Statement  
(with Copies of Citations as necessary)
13.  Preliminary Amendment Total Pages:
14.  Certified Copy of Priority document(s)  
(if foreign priority is claimed)
15.  English Translation Document (if applicable)
16.  Return Receipt Postcard  
(Should be specifically itemized)
17.  Other
  - Check No. \_\_\_\_\_ for \$
  - Copy of Power of Attorney from prior application
  - \_\_\_\_\_
  - \_\_\_\_\_
  - \_\_\_\_\_
18.  \_\_\_\_\_

**CONTINUATION APPLICATIONS**

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  
 Division  
 Continuation-in-Part (CIP)

of PRIOR APPLICATION

For CONTINUATION or DIVISIONAL APPLICATIONS: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

**CORRESPONDENCE ADDRESS**

ADDRESS	CUSTOMER NUMBER	DORSEY & WHITNEY LLP Intellectual Property Department Suite 1500 50 South Sixth Street Minneapolis, MN 55402-1498	
TELEPHONE	612-340-8819	FAX	612-340-8856
Attorney Name: Scott D. Rothenberger		Reg. No. 41,277	
Signature: <i>Scott D. Rothenberger</i>		Date: September 25, 2003	

18304 U.S.  
09/29/03  
TO

**APPLICATION  
FEE TRANSMITTAL SHEET  
(FY 2003)**

Complete if Known	
Application No.	Not Yet Known
Filing Date	Herewith
First Named Inventor	Michael Fantuzzi
Group Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Atty. Docket Number	33503/US

METHOD OF PAYMENT (Check One)			FEE CALCULATION (Continued)																																																																																																																																												
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:            Deposit Account No.: <u>04-1420</u>            Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u></p> <p><input type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17  <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)</p> <p>2. <input type="checkbox"/> Check Enclosed</p>			<p><b>3. ADDITIONAL FEES</b></p> <table border="1"> <thead> <tr> <th>Large Entity Fee</th> <th>Small Entity Fee</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>130</td> <td>65</td> <td>Surcharge - Late nonprovisional filing fee or oath</td> <td></td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of IDS</td> <td></td> </tr> <tr> <td>40</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>410</td> <td>205</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>930</td> <td>465</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1,450</td> <td>725</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1,970</td> <td>985</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>750</td> <td>375</td> <td>Submission After Final 1.129</td> <td></td> </tr> <tr> <td>320</td> <td>160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>320</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>280</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>50</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>1,300</td> <td>650</td> <td>Utility/Reissue Issue Fee (including advance copies)</td> <td></td> </tr> <tr> <td>470</td> <td>235</td> <td>Design Issue Fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>750</td> <td>375</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>300</td> <td>300</td> <td>Publication fee for early, voluntary, or normal publication</td> <td></td> </tr> <tr> <td>300</td> <td>300</td> <td>Publication fee for re-publication</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Petition to Revive – unavoidable</td> <td></td> </tr> <tr> <td>1,300</td> <td>650</td> <td>Petition to Revive – unintentional</td> <td></td> </tr> <tr> <td colspan="3">*Calculation of Extra Claim Fees</td><td colspan="3">           OTHER FEE (specify)              Subtotal (3) 0              Total Amount of Payment: \$453.00         </td></tr> <tr> <td>Large Entity Fee</td><td>Small Entity Fee</td><td>Fee Description</td><td></td><td></td><td></td></tr> <tr> <td>18</td><td>9</td><td>Claims in excess of 20</td><td></td><td></td><td></td></tr> <tr> <td>84</td><td>42</td><td>Independent claims in excess of 3</td><td></td><td></td><td></td></tr> <tr> <td>280</td><td>140</td><td>Multiple dependent Claim</td><td></td><td></td><td></td></tr> <tr> <td>84</td><td>42</td><td>Reissue independent claims over original patent</td><td></td><td></td><td></td></tr> <tr> <td>18</td><td>9</td><td>Reissue claims in excess of 20 and over original patent</td><td></td><td></td><td></td></tr> </tbody> </table>			Large Entity Fee	Small Entity Fee	Fee Description	Fee paid	50	25	Surcharge - late provisional filing fee or cover sheet		130	65	Surcharge - Late nonprovisional filing fee or oath		180	180	Submission of IDS		40	40	Recording each patent assignment per property (times number of properties)		110	55	Extension for reply within first month		410	205	Extension for reply within second month		930	465	Extension for reply within third month		1,450	725	Extension for reply within fourth month		1,970	985	Extension for reply within fifth month		750	375	Submission After Final 1.129		320	160	Notice of Appeal		320	160	Filing a brief in support of an appeal		280	140	Request for oral hearing		110	55	Terminal Disclaimer Fee		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional applications		1,300	650	Utility/Reissue Issue Fee (including advance copies)		470	235	Design Issue Fee (inc. advance copies)		750	375	Request for Continued Examination (RCE)		300	300	Publication fee for early, voluntary, or normal publication		300	300	Publication fee for re-publication		110	55	Petition to Revive – unavoidable		1,300	650	Petition to Revive – unintentional		*Calculation of Extra Claim Fees			OTHER FEE (specify)  Subtotal (3) 0  Total Amount of Payment: \$453.00			Large Entity Fee	Small Entity Fee	Fee Description				18	9	Claims in excess of 20				84	42	Independent claims in excess of 3				280	140	Multiple dependent Claim				84	42	Reissue independent claims over original patent				18	9	Reissue claims in excess of 20 and over original patent			
Large Entity Fee	Small Entity Fee	Fee Description	Fee paid																																																																																																																																												
50	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																													
130	65	Surcharge - Late nonprovisional filing fee or oath																																																																																																																																													
180	180	Submission of IDS																																																																																																																																													
40	40	Recording each patent assignment per property (times number of properties)																																																																																																																																													
110	55	Extension for reply within first month																																																																																																																																													
410	205	Extension for reply within second month																																																																																																																																													
930	465	Extension for reply within third month																																																																																																																																													
1,450	725	Extension for reply within fourth month																																																																																																																																													
1,970	985	Extension for reply within fifth month																																																																																																																																													
750	375	Submission After Final 1.129																																																																																																																																													
320	160	Notice of Appeal																																																																																																																																													
320	160	Filing a brief in support of an appeal																																																																																																																																													
280	140	Request for oral hearing																																																																																																																																													
110	55	Terminal Disclaimer Fee																																																																																																																																													
130	130	Petitions to the Commissioner																																																																																																																																													
50	50	Petitions related to provisional applications																																																																																																																																													
1,300	650	Utility/Reissue Issue Fee (including advance copies)																																																																																																																																													
470	235	Design Issue Fee (inc. advance copies)																																																																																																																																													
750	375	Request for Continued Examination (RCE)																																																																																																																																													
300	300	Publication fee for early, voluntary, or normal publication																																																																																																																																													
300	300	Publication fee for re-publication																																																																																																																																													
110	55	Petition to Revive – unavoidable																																																																																																																																													
1,300	650	Petition to Revive – unintentional																																																																																																																																													
*Calculation of Extra Claim Fees			OTHER FEE (specify)  Subtotal (3) 0  Total Amount of Payment: \$453.00																																																																																																																																												
Large Entity Fee	Small Entity Fee	Fee Description																																																																																																																																													
18	9	Claims in excess of 20																																																																																																																																													
84	42	Independent claims in excess of 3																																																																																																																																													
280	140	Multiple dependent Claim																																																																																																																																													
84	42	Reissue independent claims over original patent																																																																																																																																													
18	9	Reissue claims in excess of 20 and over original patent																																																																																																																																													

Submitted by:

CUSTOMER NUMBER <b>25763</b>	DORSEY & WHITNEY LLP	Intellectual Property Department Suite 1500, 50 South Sixth Street Minneapolis, MN 55402
Name: Scott D. Rothenberger	Phone No.: (612) 340-8819	Fax No.: (612) 340-8856
Signature: <i>Scott D. Rothenberger</i>	Reg. No.: 41,277	Date: <i>September 25, 2003</i>

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Michael Fantuzzi

Docket No.

33503/US

Serial No.  
Not Yet KnownFiling Date  
HerewithExaminer  
Not Yet KnownGroup Art Unit  
Not Yet KnownInvention: **SOLUBILIZED CoQ-10**

I hereby certify that the following correspondence:

**Appln Trans (1); Fee Trans (1); Appln Data Sheet (1); Spec w/Cover Sheet (18); Rept Pstcrd***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

**29 September 2003***(Date)***Kim Anderson***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV 325427124 US***("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.